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Docket: 0756-2064

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents Washington, D.C. 20231, on August 8, 2000

Cameron S. Gilligan

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
Shunpei YAMAZAKI et al. ) Group Art:  
Serial No. 09/437,135 ) Examiner:  
Filed: November 10, 1999 )  
For: SEMICONDUCTOR DEVICE AND )  
METHOD FOR FORMING THE )  
SAME ) Date: August 8, 2000

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**INFORMATION DISCLOSURE STATEMENT**

Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, it is requested that the references listed on the attached Form PTO-1449 be made of record in the above-identified application.

All the references cited herein were cited by the Japanese Patent Office in counterpart Japanese patent applications on May 16, 2000.

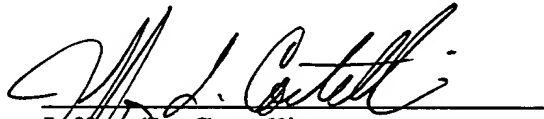
Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

JP 4-165679 is a counterpart application of U.S. Patent Nos. 5,313,075 and 5,523,240 and European Patent No. 0 459 763.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 19-2380 (0756-2064).

A copy of the references cited is submitted herewith in accordance with 37 C.F.R. 1.98(a).

Respectfully submitted,

  
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Jeffrey L. Costellia  
Registration No. 35,483

NIXON PEABODY LLP  
8180 Greensboro Drive, Suite 800  
McLean, Virginia 22102  
(703) 790-9110  
(703) 883-0370 (FAX)